(21) International Application Number:

(30) Priority Data:

9714580.9

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:	•	(11) International Publication Number:	WO 99/02108
A61F 2/44, 2/46	A1	(43) International Publication Date:	21 January 1999 (21.01.99)

GB

- 10 July 1998 (10.07.98) (22) International Filing Date:

10 July 1997 (10.07.97)

- WARDLAW, (71)(72) Applicant and Inventor: Douglas [GB/GB]; Mill of Monquich, Netherley, Stonehaven AB39 3QR (GB).
- (74) Agents: STEBBING, Peter, John, Hunter et al.; Ablett & Stebbing, Caparo House, 101-103 Baker Street, London W1M 1FD (GB).
- PCT/GB98/02017 (81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

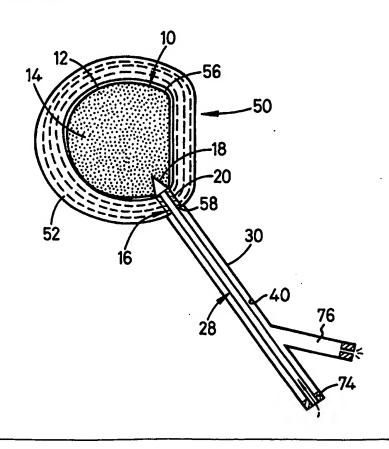
With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: INTERVERTEBRAL DISC NUCLEUS PROSTHESIS

(57) Abstract

The present invention provides a prosthetic cover (12) shaped to form a replacement nucleus pulposis (56) for an intervertebral disc (50), said cover comprising a permeable layer of an immunologically neutral material terminating in a valve structure (16) to allow the introduction of a hydrogel material (14); characterized in that a transudative material is disposed on the intended inner face of the cover to allow a through flow of low molecular weight materials only.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

			•	• •	Lesotho	SI	Slovenia
AL	Albania	ES	Spain	LS		SK	Slovakia
AM	Armenia	FI	Finland	LT	Lithuania		***
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	. IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada -	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
СН	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
cz	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

- 1 -

INTERVERTEBRAL DISC NUCLEUS PROSTHESIS

The present invention relates to a prosthetic intervertebral disc nucleus and to an insertion device for use 5 therewith. Such devices are useful to replace damaged disc nuclei, whether in the lumbar or other region of the spine.

The normal intervertebral disc is a highly specialized joint between the intervertebral bodies from the second cervical vertebra to the first sacral vertebra in the human 10 being. A disc is made up of a strong outer ring called the annulus which is strongly attached to the intervertebral bodies above and below through collagen fibers, and a central nucleus. The nucleus comprises a mesh of collagen fibers to which is attached proteoglycan molecules which 15 hygroscopic. It can therefore be said to consist of a central portion and an intermediate zone. The central portion comprises 90% proteoglycan and 10% collagen, and the intermediate zone rather less proteoglycan and proportionately more collagen. The annulus comprises 90% collagen with about 20 10% proteoglycan, which proteoglycan acts to allow a sliding motion between adjacent layers during normal daily use.

Like all other joints in the body, intervertebral discs, particularly lumbar intervertebral discs, are subject to various types of injury, degeneration and disease. Painful 25 disc syndromes can develop due to the destruction of the intervertebral disc structure.

It is often the case that back pain emanating from an intervertebral disc arises from a damaged annulus because the annulus itself is the only part of the disc structure which 30 is innervated. In theory then, the insertion of a prosthesis which has itself a finite structure with inherent strength but at the same time is held in position by the annulus would permit the annulus itself to heal with the commensurate relief of pain.

Various disc prostheses are known in the art for example

- 2 -

from US-A-3875595 and WO 95/31948. There are various problems associated with these. In US-A-3875595, there is provided a collapsible plastic bladder prosthesis of the same exterior form as the nucleus pulposis of an intervertebral disc. This 5 is provided with a stem through which liquid/plastic is introduced to inflate the prosthesis to a natural form. The difficulty with this arrangement is that since the exterior of the bladder-like prosthesis is impermeable, the prosthesis is not anchored and hence must be provided with external studs 10 to secure the same in the position relative to adjacent vertebrae. Such an arrangement tends to adversely impact upon the adjacent vertebrae and/or the plastic sheath of the prosthesis, and bearing in mind the trauma associated with insertion and subsequent repair where necessary, such 15 arrangements have not been found to be satisfactory.

In part, these problems have also been addressed in WO 95/31948. This provides an expandable fabric insert for stabilizing spinal motion which allows through growth of living cells, unlike other prior art prostheses. This can be 20 inserted by open operation. This arrangement is designed to be filled with bone graft or bone substitute material with a view to bringing about a solid bone fusion or a fibrous fusion. For anchoring purposes it is of course necessary for the living cells to grow through the woven material of the 25 prosthetic cover and this in fact can occur.

Alternatives have been suggested, for example in U.S. Patent No. 5,674,295. This describes a nuclear prosthesis which has an outer restraining jacket surrounding a hydrogel, and optionally other materials. In the dehydrated state, the 30 hydrogel has a volume smaller than the constraining jacket but when hydrated is constrained within the jacket so it can conform to a certain extent to external loads placed upon it. The prosthesis is always of a smaller volume than the nuclear space in which it is placed, but on hydration increases the 35 disc height, tensioning the fibers of the annulus.

Another attempt at this has been in WO 92/10982 in that a prosthesis of the type of this invention is revealed with a supported membrane being approximately above 15,000 daltons, or 25A. While this approach may be viable, better results, 5 especially in terms of immunological disturbances may be achieved if significantly lower porosity is utilized without rendering the cover completely impermeable.

What is required therefore is a prosthesis which can be introduced with the minimum of trauma via the lateral 10 percutaneous route, which stays correctly anchored in position, which allows some exchange of fluid and low molecular weight materials, but without immunological problems, and/or acts over the majority of the articulating surfaces of the adjacent vertebrae.

15 <u>SUMMARY OF THE INVENTION</u>

directed towards The present invention is an intervertebral disc nucleus prosthesis generally comprising a transudative or ion transport material extended over the inner face of a prosthetic woven or porous fabric chosen for 20 its strength and immunological neutrality. With this design, tissue can grow over and incorporate the outer fabric, while water and very low molecular weight materials can pass the prosthetic fabric and the transudative membrane, eliminating, or at least substantially alleviating, any 25 immunological problems. This allows the anchoring of the replacement nucleus pulposis within a disc space while preventing the ingrowth of bony trabeculae, thereby providing a more satisfactory long term solution.

An alternative embodiment generally comprises a three 30 layer cover, wherein the outer and inner layer are formed of a strong material in a sandwich construction with a middle layer of a transudative material of a small pore size. Very high pressures are present in use within the normal disc nucleus. To counteract this it is often necessary to 35 introduce a hydrogel or other suitable substance into the

.

PCT/GB98/02017

cover in a liquid or powdered state. Thus, the hydrogel would have a tendency to escape through the cover were it not so constructed as to combine strength with an ability to retain the hydrogel which might otherwise leak out through the pores 5 in the cover. The alternative jacket construction addresses this concern.

According to a first aspect of the invention therefore there is provided a prosthetic cover shaped to form a replacement nucleus pulposis of an intervertebral disc. The 10 cover comprises a permeable layer of an immunologically neutral material and a transudative material adapted to allow the through flow of selected low molecular weight materials only. The cover terminates in a valve structure configured to allow the normally irreversible introduction of a hydrogel 15 material. In one preferred embodiment, the valve structure includes a one way valve. In another preferred embodiment, the prosthetic cover comprises a three layered cover, preferably forming a sandwich construction having a middle layer of a transudative material.

The term "normally irreversible" it will be understood refers to the situation in use. Clinical situations can arise wherein it is desirable to change the volume of hydrogel in the cover post-operatively. This may be achieved by causing the hydrogel to flow out of the cover by re-opening the valve 25 structure in a fashion analogous to the filling operation, liquidizing the hydrogel and then applying a vacuum.

Regardless of the number of layers comprising the cover, the cover further includes in one preferred embodiment, a strengthening member opposite the valve structure. The 30 strengthening member is preferably integrally formed to the material comprising the cover and is configured to selectively receive an end of an introducer rod during implantation of the prosthesis. In this regard, the strengthening member allows a user to apply a pushing force on the cover without causing 35 any tears.

- 5 -

WO 99/02108 PCT/GB98/02017

As is clear from the foregoing, the low molecular weight materials may include water and other low molecular weight materials present in the environment of use. The immunologically neutral material may be woven and is 5 particularly satisfactory if selected from "Dacron"® and "Gortex"®, but other similar materials with the same MW cut off characteristics are also suitable, for example those described in U.S. Patent No. 5,674,295.

The transudative material membrane is preferably adapted 10 to have a molecular cut off below 100 Angstroms, or 12,000 daltons, more preferably below 9,000 daltons. In particularly preferred embodiments, the transudative membrane material has a molecular cut off of up to MW500, but may be as low as MW200 or even MW50. In a particularly favoured composition the 15 transudative membrane material may comprise "Opsite"®.

The valve structure is preferably formed of an imaging transparent material, for example titanium, carbon fibre or durable biocompatible plastics material polypropylene. In one preferred embodiment, the valve 20 structure includes a one way valve arrangement which may be a flap valve partially attached to an inside of the cover, making it more certain that the valve structure will be in a closed state when an internal pressure of the cover exceeds an exterior or injection pressure of the hydrogel material. 25 Alternatively, the one way valve arrangement may be a conical nose with a narrower internal opening, again directing the valve structure to a closed state under similar circumstances. conical configuration facilities insertion of an

In one alternative embodiment, the valve structure further includes an extension body attached to the one way valve arrangement. The extension body may be external to the cover, or partially or totally inside it. With one preferred option, the extension body is external or partially external 35 relative to the cover to allow attachment of an external

introducer rod, as described below.

introducer tube that controls the whole process of implantation, as described below. The extension body preferably has a central longitudinal bore which is provided over a part thereof with an internal screw thread.

The hydrogel is preferably a polyvinyl alcohol material, such as "HYPAN"®, developed into a fluid or liquid form which will easily pass through the valve structure and subsequently harden. Ideally, the swelling pressure of the resultant hydrogel is in a range similar to, or as close as possible to, 10 a normal lumbar intervertebral disc.

The prosthesis of the present invention is preferably sized such that an internal surface area of a nuclear cavity is virtually the same as the prosthetic cover. This will ensure that load distribution within the resulting prosthesis 15 is similar to that of a normal intervertebral disc. The technology of hydrogels at the present time means that the swelling pressure of hydrogel can only approximate to one quarter or one third of a normal disc. So to retain their ability to maintain disc height, it is preferable to form the 20 hydrogel as a solid material, or that the hydrogel harden or "cure" following injection into the cover. In one alternative embodiment, a fine wire of a radiolucent material is incorporated within the prosthesis to demonstrate the position of a prosthesis in vivo.

In use, one preferred method of insertion of a prosthesis in accordance with the present invention is as follows:-

A skin incision is made adjacent a damaged intervertebral disc, including an annulus and a nucleus, which has previously been extensively imaged by a Computer Tomography or Magnetic 30 Resonance Imaging. If necessary, confirmation that it is the disc which is painful may be reached by effecting provocative stress discography. This allows percutaneous disc surgery to be carried out by a lateral approach whereby a cannula or trochar is used to insert instruments laterally between 35 adjacent vertebrae in the spine through the paraspinal

- 7 -

WO 99/02108 PCT/GB98/02017

musculature so entering the disc at the postlateral corner in the "safe" triangle; inferior to the exiting nerve root. The incision provides for access to the nucleus portion of the intervertebral disc.

Chymopapain may be injected into the nucleus to digest the proteoglycan structure thereof. Mechanical action as by a brush with polypropylene bristles may be used to aid the breakdown of any remaining collagen structure to enhance the effect of chymopapain which may then be removed by suction. Subsequently an intervertebral disc nucleus prosthesis in accordance with the present invention is introduced through the disc annulus. The disc annulus comprises an outer ring of strong collagenous fibrous tissue. As previously described, the prosthesis preferably includes a cover and a 15 valve structure. The valve structure, in one preferred embodiment, includes the one-way valve arrangement (or conical nose) and an extension body that may be knurled or fluted as appropriate. A strengthening member may be incorporated into

the cover immediately opposite the valve structure for 20 receiving an introducer rod. This allows the relatively atraumatic insertion of the prosthesis cover through the annulus into the space created by the removed nucleus.

Prior to insertion, the prosthesis is preferably attached to an external introducer tube. In one preferred embodiment, 25 a distal end of the external introducer tube is internally threaded to selectively engage external threads of the extension body. Thus, the external introducer can be selectively secured to the valve structure. Additionally, a tubular screw driver may be provided. The tubular screw 30 driver is sized to be coaxially received within the external introducer tube. Further, a distal end of the tubular screw driver is preferably configured to selectively mate with both the extension body of the valve structure, as well as with the distal end of the external introducer tube. With this 35 preferred design, the tubular screw driver controls actuation

- 8 -

PCT/GB98/02017

of the valve structure and attachment between the valve structure and the external introducer tube. Finally, the introducer rod is coaxially positioned within the external introducer tube.

In one preferred embodiment, the introducer rod is preferably externally threaded to threadably engage an internal thread on the longitudinal bore of the valve structure. The introducer rod serves as a temporary stiffening device, allowing a surgeon to apply a pushing force 10 on the cover. Thus, with proper positioning of the introducer rod, which may be seated in the strengthening member of the cover, the surgeon can extend the prosthesis cover into the cavity between adjacent vertebrae.

Once the prosthesis is positioned within the disc space, 15 the introducer rod is withdrawn. Hydrogel material is then introduced into the prosthesis cover via a syringe connected to the external introducer tube. In one preferred embodiment, a distal end of the syringe is directed through the external introducer tube and secured to the valve structure. With this 20 approach, the syringe has an internal seal, to ensure that the hydrogel material passes through the valve structure into the cover, and a locking mechanism to ensure a tight seal with the valve structure. The syringe of this embodiment further includes a tubular piston rod and a piston that is selectively 25 secured to a screw configured to immediately close the valve structure after injection of the hydrogel. In one embodiment, once a desired volume of hydrogel has been injected into the cover of the prosthesis, and the piston is at the bottom of the syringe, a screw driver may be passed down a center of the 30 piston to insert and tighten the screw to the valve structure. Alternatively, the screw and the screw driver may be incorporated together into the piston, and the piston rod simply turned to secure the screw to the valve structure.

Alternatively, the external introducer tube may be a 35 cannula including two proximal ports to facilitate injection

of the hydrogel material. With this configuration, a syringe is secured to one of the two proximal ports. Hydrogel material is forced from the syringe into the external introducer tube. The external introducer tube, in turn, 5 directs the hydrogel material to the valve structure and then into the prosthetic cover. With the prosthesis filled adequately with hydrogel material to a desired internal pressure, a screw is then passed through the external introducer tube and secured to the valve structure so as to 10 retain the contents of the prosthesis. In the event that the volume of hydrogel material needs to be subsequently altered, this can be performed in a substantially non-traumatic way by merely removing the screw and replacing the contents of the prosthesis cover as necessary.

Another aspect of the present invention relates to a valve structure adapted for use in a prosthetic device. valve structure preferably comprises a valve body with a longitudinal bore therein, obturating means associated with said bore and attachment means. The valve body is configured 20 to be fluidly secured to a cover of the prosthetic device. For example, an exterior portion of the valve body may be attached to the cover such that the longitudinal bore is in fluid communication with an interior of the cover. obturating means is configured to selectively allow passage 25 of filler material, such as hydrogel through the longitudinal Finally, the attachment means is configured to selectively engage a material injection tool. The material injection tool is preferably an external introducer tube, cannula or similar apparatus designed to selectively engage 30 a distal end of the material injection tube. In one preferred embodiment, the material injection tube is adapted to accommodate an introducer rod while also allowing injection of material to the valve structure.

The longitudinal bore of the valve body is preferably 35 internally screw threaded for engagement with either the

obturating means or a introducer rod, a syringe, material injection tube or screw as appropriate. The valve body may be generally symmetrical and the bore may extend axially within the valve body. Preferably the valve body is formed 5 of an imaging transparent material, for example titanium, carbon fibre or a durable, biocompatible, plastics material such as polypropylene.

One aspect of the present invention will now be described in detail by way of illustration only with reference to the 10 accompanying drawings.

FIG. 1A is a side sectional view of an intervertebral disc nucleus prosthesis in accordance with the present invention;

FIG. 1B is a top sectional view of the prosthesis of FIG. 15 1A;

- FIG. 2A is an enlarged side sectional view of the prosthesis of FIG. 1A in a deflated state, including an introducer rod and external introducer tube;
- FIG. 2B is an enlarged, top sectional view of the 20 arrangement of FIG. 2A;
 - FIG. 3A is an enlarged, side sectional view of the prosthesis of FIG. 1A in an inflated state;
 - FIG. 3B is an enlarged, top sectional view of the arrangement of FIG. 3A;
- 25 FIG. 4 shows in FIG. 4A a vertical section through an intervertebral disc in the process of removal of a damaged nucleus pulposis;
 - FIG. 4B shows the same view in transverse cross-section;
- FIG. 5A is an enlarged view of a valve structure and 30 tubular screw driver in accordance with the present invention;
 - FIG. 5B is an enlarged view of the tubular screw driver and external introducer tube;
- FIG. 6, shows in FIG. 6A a cross-section a prosthetic cover in accordance with the present invention being 35 introduced between adjacent vertebrae, whereas Figure 6B shows

- 11 -

WO 99/02108 PCT/GB98/02017

the same view of the cover in accordance with the present invention being introduced in transverse cross-section;

FIG. 7 shows in FIG. 7A the insertion of a hydrogel into the prosthesis in accordance with the present invention in 5 vertical cross-section, whereas FIG. 7B shows the same view in transverse section; and

FIG. 8 shows in FIG. 7A a vertical cross-section of the completed prosthesis, while FIG. 7B shows the same view as FIG. 7A in transverse cross-section;

One embodiment of an intervertebral disc nucleus prosthesis 10 is shown in FIGS. 1A and 1B. The prosthesis 10 includes a cover 12, a filler material 14 and a valve structure 16. The cover 12 encompasses the filler material 14 and is sealed to a portion of the structure 16. In this 15 regard, the valve structure 16 is fluidly connected to an interior of the cover 12. Notably, the prosthesis 10 is shown FIGS. 1A and 1B in an inflated stated.

In one preferred embodiment, the cover 12 is formed of an outer woven layer of porous, yet high strength material, 20 such as "Dacron"® or "Gortex"®, and an inner layer of a microporous material, such as "Opsite"®. The outer woven layer provides structural support for the cover 12, whereas the inner layer restricts the through flow of fluids from the environment external the cover 12 to those of low molecular 25 weight. The outer layer of the cover 12 is preferably formed of an immunological neutral material, compatible with the tissue found within an intervertebral disc. The inner layer is formed of a transudative (or ion transport) material, and is extended over an interface of the outer layer. With this 30 configuration, tissue within an intervertebral disc space can grow over and incorporate into the outer layer whereas the layer restricts passage of material into the cover to water and very low molecular weight materials. In an alternative embodiment, the cover 12 is comprised of three layers, 35 including an outer layer, an inner layer and a middle layer.

- 12 -

transudative material with small pore size.

WO 99/02108

With the three-layer approach, the outer and inner layer are made of a strong, immunologically neutral material as previously described. The middle layer is comprised of a

PCT/GB98/02017

- The filler material 14 is preferably a hydrogel material, which is flowable in a first state and relatively rigid in a In one preferred embodiment, the hydrogel second state. material is polyvinyl alcohol based configured to transition from a liquid form in a first state to a hardened or cured 10 form in a second state. For example, the hydrogel material 14 may be HYPAN®, available from Hymedix International, Inc. Preferably, the hydrogel material 14 has a consistency and swelling pressure of a normal disc nucleus. Additionally, the hydrogel material 14, in an alternative embodiment, may be 15 reinforced by introducing a mesh structure inside the cover 12 and injecting the hydrogel material 14 in a liquid state into the cover 12 such that the hydrogel material 14 cures around the mesh. The resulting structure would be more able to resist stresses in a way similar to the normal disc 20 nucleus. The mesh would be introduced into the cover 12 prior to implant, and may be a fine polypropylene thread. With this configuration, the mesh would easily deform to facilitate insertion of the cover 12 into the nucleus, after which the mesh would spring out once again to fill the cover 12.
- 25 Finally, the valve structure 16 preferably includes a one-way valve 18, an extension body 20 and a screw 22. The one-way valve 18 is integrally formed with the extension body 20, the combination of which forms a longitudinal bore 24 through the valve structure 16. The screw 22 is selectively 30 securable to the extension body 20 so as to close the longitudinal bore 24. The one-way valve 18 is shown in FIGS. 1A and 1B as being a conical nose. With this configuration, the conical nose restricts flow of the filler material 14 from the cover 12 through the valve structure 16. Alternatively, 35 the one-way valve 18 may be a flap valve (shown in greater

- 13 -

PCT/GB98/02017

detail below) to further inhibit back flow of the hydrogel material 14 out from the cover 12.

As shown in FIGS. 1A and 1B, the cover 12 is secured about the one-way valve 18 portion of the valve structure 16.

5 The extension body 20 extends from a periphery of the cover 12. Further, in one preferred embodiment, the cover includes a strengthening member 26 positioned opposite the valve structure 16. The strengthening member 26 is preferably formed at an interior of the cover 12, and may assume an 10 arcuate form.

The intervertebral disc nucleus prosthesis 10 is shown in greater detail in FIGS. 2A and 2B. Notably, the prosthesis 10 is shown in a deflated state, with the filler material 14 (FIGS. 1A and 1B) removed from the cover 12 and the screw 22 15 (FIGS. 1A and 1B) removed from the extension body 22. Additionally, the prosthesis 10 is shown in FIGS. 2A and 2B as being attached to an introducer rod 28 and an external introducer tube 30.

The extension body 20 of the valve structure 16 includes 20 a proximal portion 32 and a distal portion 34. In this regard, the distal portion 34 is connected to the one-way valve 18. The proximal portion 32 includes an external thread 36 and an internal thread 38. The external thread 36 is sized to threadably engage a threaded portion of the external 25 introducer tube 30. Similarly, the internal thread 38 of the extension body 20 is sized to threadably receive a threaded portion of the introducer rod 28.

Use of the introducer rod 28 and the external introducer tube 30 is described in greater detail below. Generally 30 speaking, however, the external introducer tube 30 is an elongated tube defining an internal passage 40. The internal passage 40 of the external introducer tube 30 has a diameter approximating an outer diameter of the extension body 20. The introducer rod 28 is a relatively stiff member having a 35 diameter less than that of the internal passage 40 of the

- 14 -

WO 99/02108 PCT/GB98/02017

external introducer tube 30. Thus, the introducer rod 28 is coaxially received from the external introducer tube 30. Further, the introducer rod 28 includes a distal end 42 and an intermediate threaded portion 44. As shown in FIGS. 2A and 52B, the intermediate threaded portion 44 threadably engages the internal threaded 38 of the extension body 20. Finally, the introducer rod 28 has a diameter approximating that of the longitudinal bore 24 of the valve structure 16. Thus, the introducer rod 28 can be rotated relative to the valve 10 structure 16 to extend or retract the distal end 42 relative to the strengthening member 26 of the cover 12.

Another feature of the valve structure 16 is shown in greater detail in FIGS. 3A and 3B. As a point of reference, the prosthesis 10 is shown in FIGS. 3A and 3B in an inflated 15 state. Once again, the prosthesis 10 is attached to the external introducer tube 30. However, the introducer rod 28 (FIGS. 2A and 2B) has been removed. Further, the screw 22 has been secured to the proximal portion 32 of the extension body 20. In this secured position, the screw 22 prevents the 20 filler material 14 otherwise maintained within the cover 12 from escaping through the valve structure 16.

FIGS. 3A and 3B also provide an alternative embodiment of the one-way valve 18. More particularly, in the embodiment shown in FIG. 3A, the one-way valve 18 comprises a flap 25 attached at one end to the distal portion 34 of the extension body 20. The flap 18 extends from the extension body 20 within the cover 12 and is able to move within the cover 12. With this configuration, the flap 18 can move to a position by which the flap 18 effectively closes the longitudinal bore 30 24 in the extension body 20. In this position, the flap 18, in conjunction with the screw 22, prevents back flow of the filler material 14 through the valve structure 16.

A preferred method of implanting the intervertebral disc nucleus prosthesis 10 is substantially as follows. A patient 35 is first extensively imaged by traditional means to obtain the - 15 -

WO 99/02108 PCT/GB98/02017

level and condition of a damaged disc 50, in the present case a damaged lumbar disc, as shown in FIGS. 4A and 4B. The disc 50 is basically comprised of an annulus 52 and opposing end plates 54 surrounding a nucleus 56.

5 Following imaging, the nucleus 56 may be removed as is shown in FIGS. 4A ands 4B. A preferred lateral percutaneous approach to the disc 50 is used whereby an operating port 58 is imparted to access the nucleus 56. A needle (not shown) of appropriate bore is used to enter the nucleus 56 via the 10 operating port 58 and chymopapain is injected to digest the proteoglycan of the nucleus 56. This may be done either prior to the creation of the operating port 58 or through it. A polypropylene bristle brush (not shown) may then be inserted to help break down any remaining structure of the nucleus 56 15 and to aid the digestion of the nucleus 56, which may be removed by suction.

If necessary a trochar 59 is then passed through the operating port 58 to the posterolateral portion of the annuls 52 and used to expand the operating port 58 by spreading the 20 strong collagenous fibrous tissue of the annulus 52.

Subsequently, the external introducer tube 30, otherwise attached to the prosthesis 10 as previously described, are utilized. More particularly, as previously described with reference to FIGS. 2A and 2B, the distal end 42 of the 25 external introducer tube 30 is secured to the extension body 20 of the valve structure 16. It should be recalled that at this stage, the cover 12 is deflated or empty. In this secured position, the longitudinal bore 24 of the valve structure 16 is aligned with the internal passage 40 of the 30 external introducer tube 30. The introducer rod 28 is then coaxially placed through the internal passage 40 and the longitudinal bore 24 such that the intermediate threaded portion 44 engages the internal thread 38 of the extension body 20. At this point, the introducer rod 28 is rotated such 35 that the opposing threads of the introducer rod 28 and the

- 16 -

PCT/GB98/02017

extension body 20 threadably engage one another. Further rotation of the introducer rod 28 directs the distal end 42 into contact with the strengthening member 26 of the cover 12. Thus, the introducer rod 28 can be extended within the cover 512 to define a preferred diameter of the cover, approximately that of the disc 50 (FIG. 4A).

In one preferred embodiment, a separate tubular screw driver 60 may also be provided, as shown in FIG. 5A. The tubular screw driver 60 includes teeth 62 sized to engage a 10 reciprocal groove 64 in the extension body 20 of the valve structure 16. The teeth 62 are positioned at a distal end 66 of the tubular screw driver 60. Thus, the distal end 66 of the tubular screw driver 60 engages both the external introducer tube 30 and the valve structure 16 to prevent the 15 external introducer tube 30 from disengaging from the valve structure 16. In this regard, it is important to ensure that the valve structure into which the prosthetic cover 12 is attached does not come adrift from the external introducer tube 30 during the insertion process. Finally, the tubular 20 screw driver 60 is secured at a proximal end 68 to the eternal introducer tube 30 as shown in FIG. 5B. More particularly, in one preferred embodiment, the proximal end 68 of the tubular screw driver 60 includes a shoulder 70 sized to fit within a notch 72 in the external introducer tube 30. 25 other words, placement of the shoulder 70 within the notch 72 prevents the tubular screw driver 60 from rotating relative to the external introducer tube 30.

The surgeon then directs the external introducer tube 30 to insert the cover 12 within the disc 50 as shown in FIGS.

30 6A and 6B. More particularly, the cover 12 is directed through the operating port 58 in the annulus 52 to the area once occupied by the nucleus 56. The introducer 28 acts as a stiffener, allowing the surgeon to push or force the cover 12 through the annulus 52 and into the area occupied by the 35 nucleus 56. To prevent the introducer rod 28 from piercing

- 17 -

PCT/GB98/02017

the cover 12 during this insertion process, the distal end 42 of the introducer rod 28 is effectively seated against the strengthening member 26.

Notably, the external introducer tube 30 is shown in 5 FIGS. 6A and 6B as a cannula having two proximal ports 74 and 76. Both of the proximal ports 74, 76 are in fluid communication with the internal passage 40. With this configuration, the introducer rod 28 is positioned to extend outwardly from the first proximal port 74. For ease of 10 illustration, the tubular screw driver 60 previously described is not shown.

Once the cover 12 has been properly positioned within the space previously occupied by the nucleus 56, the introducer rod 28 is retracted from the external introducer tube 30. 15 More particularly, the introducer rod 28 is rotated counter clockwise so as to be withdrawn both from the longitudinal bore 24 of the value structure 16 and towards the first proximal port 74. The introducer rod 28 may be fully withdrawn from the first proximal port 74 so long as a cap 20 (not shown) is used to prevent any back flow of the hydrogel material 14.

The filler or hydrogel material 14 is then injected into the cover 12, as shown in FIGS. 7A and 7B which depict the prosthetic cover 12 in a fully inflated state. This is 25 achieved in two possible ways. First, a measured amount of the hydrogel material 14 introduced into a specially designed syringe (not shown) which is introduced into the external introducer tube 30 and locked to the valve structure 16. The hydrogel material 14 is injected into the prosthesis cover 12 30 so that a piston of the syringe is adjacent the valve structure 16. In a preferred embodiment, the piston of the syringe includes the screw 22 (FIG. 3A) which can be secured to the proximal portion 32 (FIG. 2A) of the extension body 20. A separate screw driver is either incorporated into the piston 35 structure, or inserted down the centre of the piston to engage

- 18 -

PCT/GB98/02017

with the screw 22 which is then turned to engage fully and tighten to the valve structure 16.

Alternatively, the hydrogel material 14 is introduced via the second proximal port 76 and flows down the internal 5 passage 40 of the external introducer tube 30 through the bore 24 in the valve structure 16 and into the deflated prosthetic cover 12 so as to inflate the same to the position shown in FIG. 6A. The introduction of the hydrogel material 14 is continued until the prosthetic cover 12 is adequately filled 10 with the hydrogel material 14.

With reference now to Figure 8, Figures 8A and 8B show sealing of the valve structure 16. The previously described tubular screw driver 60 (FIGS. 5A and 5B) is removed and replaced by a second screw driver (not shown). The second 15 screw driver includes the set screw 22, and is introduced through the external introducer tube 30 and pressed through any remaining the hydrogel material 14 until the screw thread on the sealing set screw 22 comes into contact with the internal thread 38 in the extension body 20 of the valve 20 structure 16. The screw 22 is then rotated to close the bore 24. With the screw 22 secured to the valve structure 16, the eternal introducer tube 30 is then rotated so that it disengages from the prosthesis valve structure 16.

Thus, the tubular screw driver which has a nob to engage 25 the notch in the distal end of the tubular kit introducer is removed and replaced with a second tubular screw driver without a notch so that it can freely rotate with the tubular introducer. It engages with grooves in the valve so preventing rotation of the valve by retaining the tubular 30 screw driver against rotation. The tubular introducer is then rotated so that it disengages for the valve structure whereupon the second screwdriver is removed followed by the operating port.

By means of the foregoing process, a replacement nuclei 35 pulposis can be inserted between adjacent vertebrae

- 19 -

PCT/GB98/02017

successfully with the removal of pain and incapacity, and prevention of the development of secondary degenerative changes in the disc due to the replacement of damaged or degenerated intervertebral disc.

5 The invention relates therefore to the improved prosthetic device, and to a method for its insertion.

In an alternative form of the prosthesis 10, the valve structure 16 may allow passage of the introducer rod 28 through the flap valve 18 to engage the strengthening member 10 26. In this latter case, the introducer rod 28 consists of a cannula with an internal trochar having a rounded internal end which engages the strengthening member 26. The prosthesis 10 is inserted by pushing it into the nuclear cavity whereupon the conically nosed trochar is removed. A syringe, containing 15 the hydrogel material 14 is then attached to the external end of the cannula and the hydrogel material 14 injected. Since the internal pressure is greater than the injection pressure, the flap valve 18 will close on removal of the cannula. hole (or operating port) 58 in the annulus 52 will tend to 20 close as the fibres are stretched, so that the prosthesis 10 which by this time is far greater in size than the operating port 58 is easily retained in position.

- 20 -

What is claimed:-

1. A prosthetic cover (12) shaped to form a replacement nucleus pulposis (10) for an intervertebral disc (50), said 5 cover comprising a permeable layer of an immunologically neutral material terminating in a valve structure (16) to allow the introduction of a hydrogel material (14); characterized in that a transudative material is disposed on the intended inner faced of the cover to allow a through flow 10 of low molecular weight materials only.

- 2. A prosthetic cover according to claim 1 wherein the cover terminates in a one way valve structure to allow the introduction of the hydrogel material in a normally 15 irreversible manner.
 - 3. A prosthetic cover according to claim 1 wherein the low molecular weight material includes water.
- 204. A prosthetic cover according to any preceding claim wherein the transudative layer has a size below 100 Angstroms.
- 5. A prosthetic cover according to any preceding claims wherein the valve structure comprises an internal conical flap 25 valve to permit the passage of an introducer.
- 6. A prosthetic cover according to any preceding claim wherein a nose section is disposed on the cover in opposed relation to the valve structure and adapted to engage the 30 distal end of the introducer rod during insertion.
 - 7. A prosthetic cover according to any preceding claim comprising a valve structure (16) formed of an imaging transparent material.

8. A prosthetic comprising a cover as claimed in any one of claims 1 to 6 disposed about a non-granular hydrogel material.

- 21 -

- 9. A valve structure adapted for use with a prosthetic 5 device, said structure comprising a body (16) with a longitudinal bore (24) therethrough, said body comprising means (20) for the attachment of a prosthetic cover (12) to the exterior of the structure ane means (36) for the readily reversible attachment of the valve body to an introducer (30), 10 said introducer being adapted to accommodate an introducer rod (28) while also allowing injection of a hydrogel material (14).
- 10. A value structure according to claim further comprising 15 obturating means (18) operatively associated with said bore (16).
- 11. A valve structure according to claim 10 wherein the bore of the valve body is internally screw-threaded for 20 interengagement with either of the obturating means or introducer rod.
- 12. A valve structure according to any of claims 9 to 11 wherein said body is generally symmetrical and the bore 25 extends axially of said body.
 - 13. A valve structure according to any of claims 9 to 12 wherein the introducer is engageable generally axially to the valve body by means of a readily reversible interlock.

30

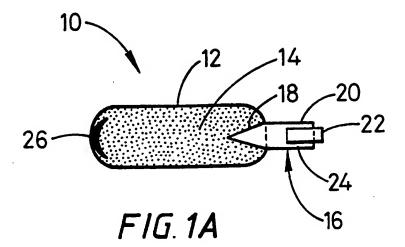
- 14. A valve structure according to claim 11 wherein the introducer rod is screw threaded to engage the screw threaded longitudinal bore of the valve structure.
- 3515. A valve structure according to any of claims 9 to 14

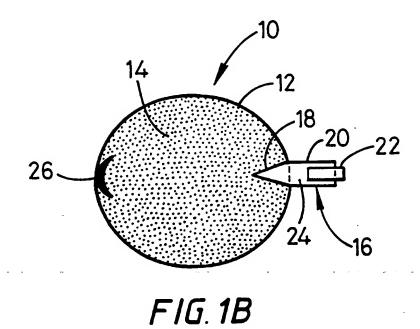
- 22 -

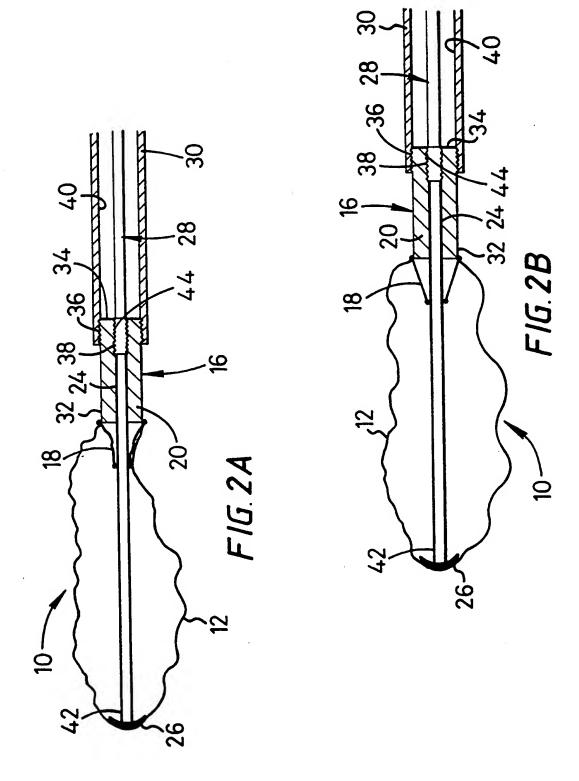
formed of an imaging transparent material.

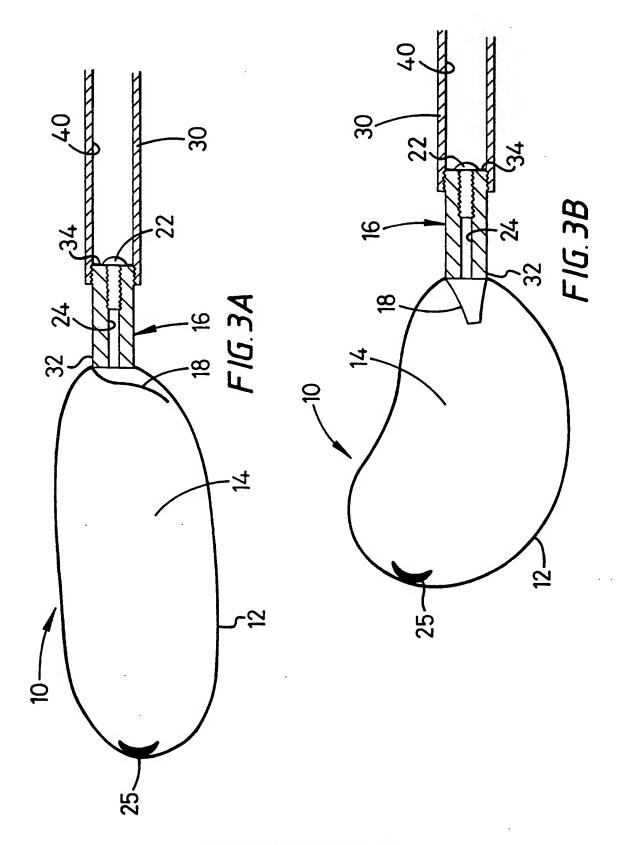
16. A valve structure according to claim 9 wherein a strengthening member (26) is incorporated into the cover (12) 5 and adapted to receive the end of the introducer rod (28).

17. A valve structure according to any of claims 9 to 16 further comprising a tubular screw driver (30) and wherein the body (16) is provided with engagement means to interlock with 10 the tubular screwdriver (30).









SUBSTITUTE SHEET (RULE 26)



FIG.4

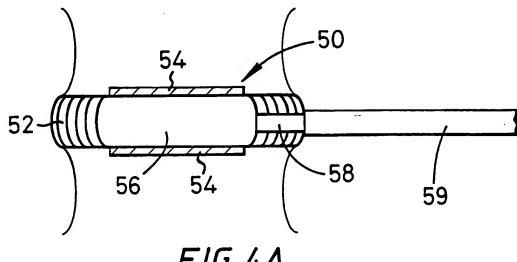
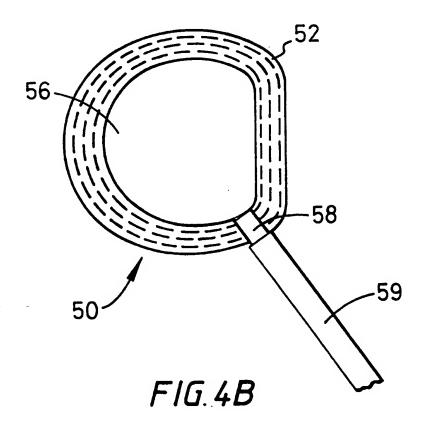
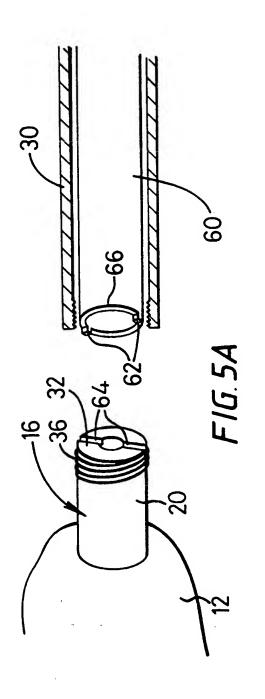
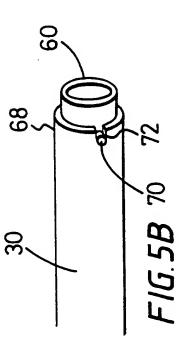
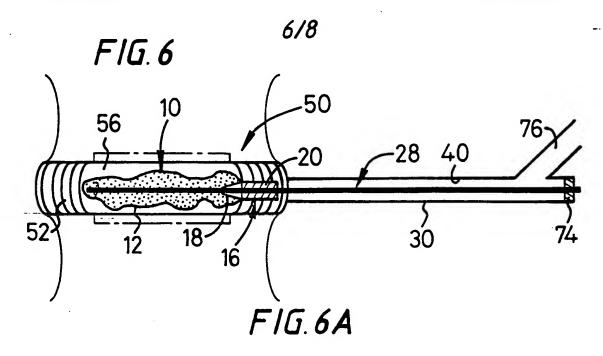


FIG. 4A









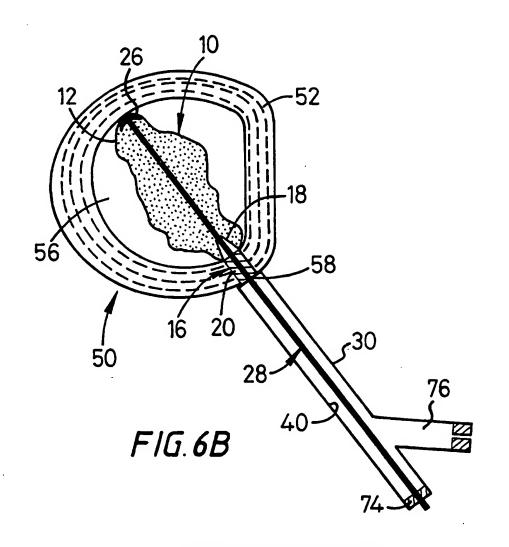
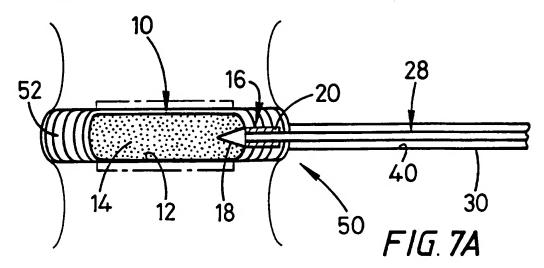
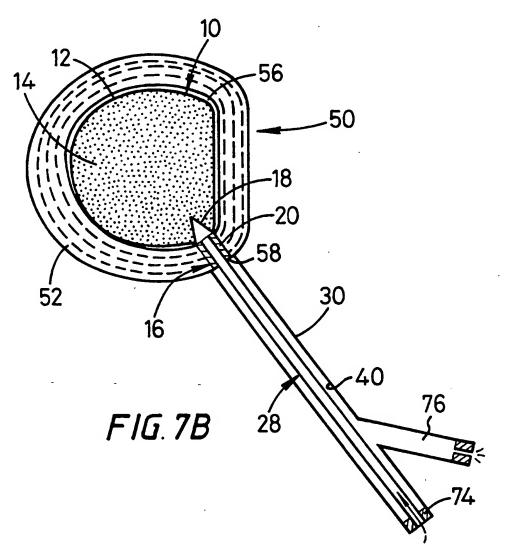
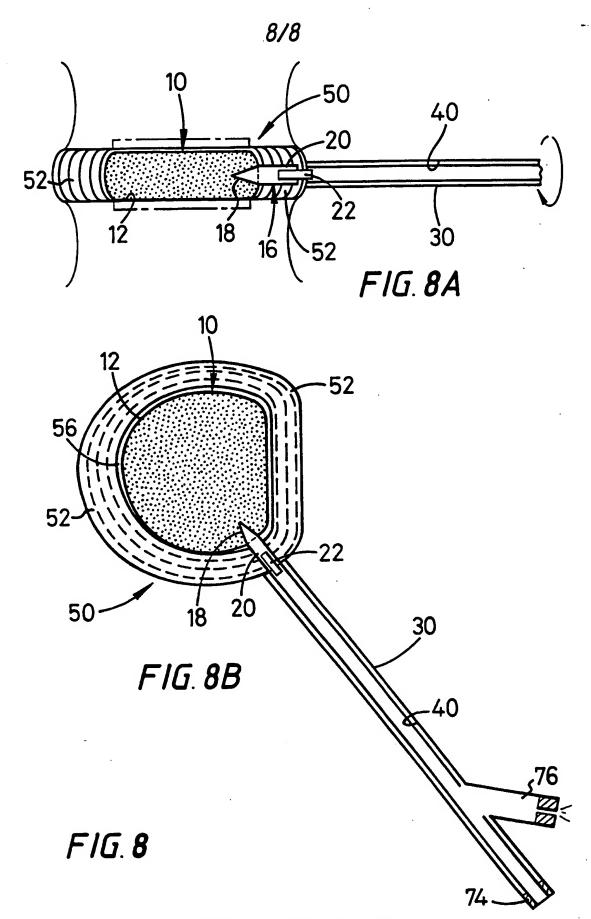


FIG.7





SUBSTITUTE SHEET (RULE 26)



Inte .onal Application No PCT/GB 98/02017

A. CLASSI IPC 6	FICATION OF SUBJECT MATTER A61F2/44 A61F2/46		-
According to	o International Patent Classification(IPC) or to both national classificati	ion and IPC	
	SEARCHED	on and n	
	ocumentation searched (classification system followed by classification	symbols)	
IPC 6	A61F		
Documentat	tion searched other than minimum documentation to the extent that suc	ch documents are included in the fields sea	ırched
Electronic d	ata base consulted during the international search (name of data base	and, where practical, search terms used)	
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relev	vant passages	Relevant to claim No.
Y	DE 39 22 203 C (NOLDE) 25 October see the whole document	1990	1-3,8-14
Υ	US 3 975 350 A (HUDGIN) 17 August see abstract	1976	1-3,8
'	see column 2, line 15		
	see column 2. line 44 - line 51 see column 21, line 42 - line 65		-
Y	US 4 969 888 A (SCHOLTEN) 13 Nove see figures 25,26	mber 1990	9–14
Α	WO 92 10982 A (PFIZER HOSPITAL PR GROUP) 9 July 1992 cited in the application see the whole document	ODUCTS	1,3,8
	see the whole document		
	-	/	
X Furti	her documents are listed in the continuation of box C.	X Patent family members are listed in	in annex.
* Special ca	stegones of cited documents :	T later document published after the inte	
	ent defining the general state of the art which is not tered to be of particular relevance	or priority date and not in conflict with cited to understand the principle or the invention	
"E" earlier o	document but published on or after the international	"X" document of particular relevance; the o	
"L" docume which	ant which may throw doubts on priority claim(s) or	cannot be considered novel or cannot involve an inventive step when the do "Y" document of particular relevance; the or "Y"	cument is taken alone claimed invention
O docum	ent referring to an oral disclosure, use, exhibition or	cannot be considered to involve an in document is combined with one or ma	ore other such docu-
"P" docume	means ent published prior to the international filing date but han the priority date claimed	ments, such combination being obvio in the art. "&" document member of the same patent	
Date of the	actual completion of theinternational search	Date of mailing of the international sea	arch report
6	November 1998	13/11/1998	
Name and	maxing address of the ISA	Authorized officer	
1	European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk		•
	Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Klein, C	

1

Inte. onal Application No PCT/GB 98/02017

		PC1/GB 98/0201/
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication where appropriate, of the relevant passages	Relevant to claim No.
Catégory ·	Original or Goodingth, mill side-culottaring appropriate, or the Igiovan passages	
A	WO 95 31948 A (KUSLICH) 30 November 1995 cited in the application see the whole document	2,5,9, 11,12,14
Α	EP 0 277 282 A (GEBRÜDER SULZER) 10 August 1988 see the whole document	8
A	FR 2 723 841 A (GAUCHET) 1 March 1996 see the whole document	9
A	US 3 867 728 A (STUBSTAD) 25 February 1975 see column 10, line 24 - line 26; figure 9	16
Α	US 5 645 597 A (KRAPIVA) 8 July 1997	·
Α	WO 96 11642 A (RAYMEDICA) 25 April 1996 cited in the application	
Α	US 3 875 595 A (FRONING) 8 April 1975 cited in the application	
	·	

1

information on patent family members

Intel Onal Application No PCT/GB 98/02017

Patent document cited in search report		Publication date		atent family member(s)	Publication date
DE 3922203	c	25-10-1990	DE	3943485 C	08-11-1990
56 5511155	•		WO	9100713 A	24-01-1991
			EP	0480954 A	22-04-1992
US 3975350	A	17-08-1976	US	3822238 A	02-07-1974
			CA	1061931 A	04-09-1979
US 4969888	Α	13-11-1990	US	5108404 A	28-04-1992
WO 9210982	Α	09-07-1992	US	5047055 A	10-09-1991
			บร	5192326 A	09-03-1993
			AT	126688 T	15-09-1995
			AU	654173 B	27-10-1994
			AU	1338592 A	22-07-1992
			CA	2094135 A,C	22-06-1992
			DE	9190192 U	05-08-1993
			DE	69112425 D	28-09-1995
			DE	69112425 T	15-02-1996
			DK	563332 T	27-12-1995
		•	EP	0563332 A	06-10-1993
			EP	0662309 A	12-07-1995
			ES	2076028 T	16-10-1995
			GR	3017783 T	31-01-1996
			ΙE	69177 B	21-08-1996
			JP	2530089 B	04-09-1996
			PT	99889 A	29-01-1993
WO 9531948	Α	30-11-1995	US	5571189 A	05-11-1996
			AU	691925 B	28-05-1998
			AU	2592095 A	18-12-1995
			CA	2189677 A	30-11-1995
			EP	0764008 A	26-03-1997
			HU	77219 A	02-03-1998
			JP	10501710 T	17-02-1998
			US	5549679 A	27-08-1996
EP 277282	Α	10-08-1988	СН	671691 A	29-09-1989
			DE	3772033 A	12-09-1991
			US	4932969 A	12-06-1990

information on patent family members

Inter Inal Application No PCT/GB 98/02017

Patent document cited in search repo		Publication date	Patent family member(s)	Publication date
FR 2723841	Α	01-03-1996	NONE	
US 3867728	A	25-02-1975	CA 992255 A DE 2203242 A FR 2124815 A GB 1306660 A SE 391122 B	06-07-1976 10-08-1972 22-09-1972 14-02-1973 07-02-1977
US 5645597	Α	08-07-1997	NONE	
WO 9611642	Α	25-04-1996	EP 0786963 A JP 10507386 T US 5674295 A US 5824093 A	06-08-1997 21-07-1998 07-10-1997 20-10-1998
US 3875595	Α	08-04-1975	NONE	